

INTERNAL AUDIT - MONITORING REPORT QUARTER 1 2018/19

Head of Service	Audit	Date Finalised	Assurance Level	Recommendations		
				Made	Agreed	Not Agreed
Housing & Public Protection	Blaenymaes DHO*	26/04/18	High	10	10	0
Housing & Public Protection	Eastside DHO	11/05/18	High	9	9	0
Housing & Public Protection	Morrison DHO	27/06/18	High	9	9	0
Child & Family Services	Foster Swansea*	16/05/18	High	1	1	0
Financial Services & Service Centre	Funded Nursing Care*	04/04/18	High	1	1	0
Financial Services & Service Centre	Housing & Council Tax Benefit*	10/04/18	High	23	23	0
Financial Services & Service Centre	Employee Services*	29/05/18	High	4	4	0
Financial Services & Service Centre	Pensions Admin*	30/04/18	High	4	4	0
Financial Services & Service Centre	NNDR*	11/05/18	High	0	0	0
Financial Services & Service Centre	Capital Accounting*	17/04/18	High	1	1	0
Planning & City Regeneration	External Funding Team	20/06/18	High	0	0	0
Housing & Public Protection	Registration Service*	04/04/18	High	8	8	0
Adult Services	Community Alarm Service	19/06/18	Substantial	7	7	0
Adult Services	Community Equipment Store & Suresprung	29/06/18	Substantial	17	17	0
Adult Services	Taxi Framework Contract - Adult Services	22/06/18	Substantial	6	6	0
Education Planning & Resources	Mayals Primary School	29/06/18	Substantial	17	17	0
Education Planning & Resources	YGG Llwynderw*	12/06/18	Substantial	16	16	0
Achievement Partnership Service	Education Welfare Service	19/06/18	Substantial	8	8	0
Highways & Transportation	Streetworks	11/06/18	Substantial	5	5	0
Planning & City Regeneration	Planning & Enforcement*	15/05/18	Substantial	3	3	0
Digital & Transforamtion	IT File Controls*	15/05/18	Substantial	1	1	0
Digital & Transforamtion	Flare System	01/05/18	Substantial	4	4	0
Cultural Services	Grand Theatre	27/06/18	Substantial	20	19	1
Poverty & Prevention	Partnership & Commissioning Team*	16/04/18	Substantial	14	14	0
Legal, Democratic Services & Business Intelligence	Electoral Services & Reg. of Electors	05/06/18	Substantial	6	6	0
Digital & Transforamtion	Telephones (inc Mobiles)	29/06/18	Substantial	2	2	0
HR & OD	Emergency Management*	02/05/18	Substantial	10	10	0
Highways & Transportation	Car Parks	21/06/18	Substantial	18	18	0
Financial Services & Service Centre	Senior Management Assurance Statements*	17/04/18	Substantial	2	2	0
Digital & Transforamtion	Disaster Recovery & Business Continuity*	26/06/18	Moderate	21	21	0
Total				247	246	1

* Audits from the 2017/18 Audit Plan finalised in 2018/19.